

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q68150

Kazuhiko HIGASHI

Appln. No.: 10/031,705

Group Art Unit: 2125

Confirmation No.: 6333

Examiner: Ryan A. JARRETT

Filed: January 22, 2002

For: NUMERICAL CONTROL SYSTEM AND METHOD FOR SETTING
COMMUNICATION TIMING IN NUMERICAL CONTROL SYSTEM

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), but before payment of the Issue Fee. Accordingly, Applicant is submitting herewith a Statement Under 37 C.F.R. § 1.97(e) and the statutory fee of \$180.00. The

INFORMATION DISCLOSURE STATEMENT
U.S. Appln. No.: 10/031,705

statutory fee of \$180.00 is being charged to Deposit Account No. 19-4880 via EFS Payment
Screen under 37 C.F.R. § 1.17(p).

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for
foreign language documents, Applicant encloses herewith a copy of a Communication from a
foreign patent office in a counterpart application citing such documents, together with an
English-language version of at least that portion of the Communication indicating the degree of
relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such
document constitutes prior art against the claims of the present application. Applicant does not
waive any right to take any action that would be appropriate to antedate or otherwise remove any
listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue
Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any
overpayments to said Deposit Account. A duplicate copy of this paper is attached.


Respectfully submitted,

SUGHRUE MION, PLLC
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WASHINGTON OFFICE

23373

CUSTOMER NUMBER


Nataliya Dvorson
Registration No. 56,616

Date: December 4, 2006

Attorney Docket No.: Q68150

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Application Number | | 10031705 | |
| | Filing Date | | 2002-01-22 | |
| | First Named Inventor | Kazuhiko Higashi | | |
| | Art Unit | 2125 | | |
| | Examiner Name | Ryan A. Jarrett | | |
| | Attorney Docket Number | Q68150 | | |

| U.S. PATENTS | | | | | | | | |
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|---|------------------------|------------------|------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Application Number | | 10031705 |
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| | First Named Inventor | Kazuhiko Higashi | |
| | Art Unit | 2125 | |
| | Examiner Name | Ryan A. Jarrett | |
| | Attorney Docket Number | Q68150 | |

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ⁵ |
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| Examiner Signature | | Date Considered | |
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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|------------------|
| Application Number | 10031705 |
| Filing Date | 2002-01-22 |
| First Named Inventor | Kazuhiko Higashi |
| Art Unit | 2125 |
| Examiner Name | Ryan A. Jarrett |
| Attorney Docket Number | Q68150 |

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| | | | |
|------------|--------------------|---------------------|------------|
| Signature | /Nataliya Dvorson/ | Date (YYYY-MM-DD) | 2006-12-04 |
| Name/Print | Nataliya Dvorson | Registration Number | 56,616 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.